September 26, 2003

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# REVISIONS TO DRAFT OF EXAMINER'S AMENDMENT PER 9/23/2003 INTERVIEW

# 1. "Mark-Up"

#### 1:-20. (Cancelled)

- 21. (Revised) A method for inducing an anti-tumor response in a mammalian patient suffering from a tumor, which method comprises administering to the patient in the following order:
- (a) on the first day of treatment, a first composition comprising  $2\times10^5$  to about  $2.5\times10^6$  haptenized or non-haptenized autologous tumor cells or tumor cell equivalents free er of any adjuvant;
- (b) four to seven days after the initiation of the treatment, an immunomodulatory agent that inhibits immune suppression; and
- (c) at least one additional composition comprising from about  $\frac{1\times10^8 \text{ to } 2.5\times10^8}{2\times10^5 \text{ to } 1\times10^7}$  autologous tumor cells or tumor cell equivalents, wherein said tumor cell or tumor cell equivalents are conjugated to a hapten.
- 22. The method of claim 21, in which the immunomodulatory compound is cyclophosphamide.
- 23. (Revised) A method for inducing an anti-tumor response in a mammalian patient suffering from a tumor, which method comprises administering to the patient in the following order:
- (a) on the first day of treatment, a composition comprising  $2\times10^6$  to  $2.5\times10^6$  haptenized or non-haptenized autologous tumor cells or tumor cell equivalents free or of any adjuvant;
- (b) four to seven days after initiation of the treatment, cyclophosphamide; and

- (c) at least one week after initiation of the treatment, a composition comprising an adjuvant and from  $2\times10^5$  to about  $1\times10^7$  autologous tumor cells or tumor cell equivalents, wherein said tumor cell cells or tumor cell equivalents are conjugated to a hapten.
- 24. The method in claim 23, in which the adjuvent is Bacille Calmette-Guerin.
- 25. The method of claim 21, wherein the composition administered on the first day of treatment comprises haptenized tumor cells or tumor cell equivalents.
- 26. The method of claim 21, wherein the composition administered on the first day of treatment comprises a mixture of haptenized and non-haptenized tumor cells or tumor cell equivalents.

## 27. (Cancelled)

- 28. (Revised) The method of claim 26 21, wherein the hapten is selected from the group consisting of dinitrophenyl, trinitrophenyl, N-iodoacetyl-N'-, (5-sulfonic 1-naphthyl) ethylene diamine, trinitrobenzenesulfonic acid, fluorescein isothiocyanate, arsenic acid benzene isothiocyanate, sulfanilic acid, arsenilic acid, dinitrobenzene-S-mustard and combinations thereof.
  - 29. The method of claim 28, in which the hapten is dinitrophenyl.
- 30. (Revised) The method of claim 21, wherein the composition administered on the first day of treatment comprises tumor cell equivalents.

#### 31. (Cancelled)

- 32. The method of claim 21, wherein the tumor cells or tumor cell equivalents originate from a tumor selected from the group consisting of melanoma, ovarian cancer, colon cancer, breast cancer, rectal cancer, lung cancer, kidney cancer, prostate cancer, and leukemia.
  - 33. The method of clam 21, wherein the tumor is melanoma.
  - 34. The method of claim 21, wherein the tumor is ovarian cancer.
- 35. The method of claim 21, wherein the tumor cells are rendered incapable of growth or multiplication in vivo by irradiation.
  - 36. (Cancelled)
- 37. The method of claim 21, wherein the tumor cells of the first composition are rendered incapable of growth or multiplication in vivo by haptenization.
- 38. The method of claim 23, wherein the adjuvant is selected from the group consisting of *Bacille Calmette-Guerin*, Q-21, and detoxified endotoxin.
- 39. The method of claim 21, wherein the mammalian patient is a domestic pet or livestock.
- 40. The method of claim 21, wherein the immunomodulatory agent is administered 5 to 7 days after initiation of the treatment.
  - 41. The method of claim 21, wherein the patient is a human.
- 42. The method of claim 23, wherein the mammalian patient is a domestic pet or livestock.

- 43. (Revised) The method of claim 23 21, wherein the adjuvant is selected from the group consisting of *Bacille Calmette-Guerin*, Q-21, and detoxified endotoxin.
  - 44. The method of claim 23, wherein the patient is a human.
- 45. The method of claim 23, wherein the cyclophosphamide is administered 5 to 7 days after initiation of the treatment.
- 46. (Revised) A method for inducing an anti-tumor response in a mammalian patient suffering from a tumor, which method comprises administering to the patient in the following order:
- (a) on the first day of treatment, a first composition comprising  $2\times10^5$  to about  $2.5\times10^6$  haptenized or non-haptenized tumor cells or tumor cell equivalents free of any adjuvant;
- (b) four to seven days after the initiation of the treatment, an immunomodulatory agent that inhibits immune suppression; and
- (c) at least one additional composition comprising from about  $2\times10^5$  to  $1\times10^7$  tumor cells or tumor cell equivalents, wherein said tumor cell or tumor cell equivalents are conjugated to a hapten.
- 47. (Revised) The method of claim 46 23, wherein the hapten is selected from the group consisting of dinitrophenyl, trinitrophenyl, N-iodoacetyl-N'- (5-sulfonic 1-naphthyl) ethylene diamine, trinitrobenzenesulfonic acid, fluorescein isothiocyanate, arsenic acid benzene isothiocyanate, sulfanilic acid, arsanilic acid. dinitrobenzene-S-mustard and combinations thereof.
  - 48. The method of claim 46 23, wherein the tumor is melanoma.

- 49. The method of claim 46 23, wherein the tumor is ovarian cancer.
- 50. (Revised) The method of claim 46, wherein the <u>second</u> composition comprises an adjuvant is selected from the group consisting of *Bacille Calmette-Guerin*, Q-21, and detoxified endotoxin.

### 51-54. (Cancelled)

- 5i5. (Revised) A method for inducing an anti-tumor response in a mammalian patient suffering from a tumor, which method comprises administering to the patient in the following order:
- (a) on the first day of treatment, a composition comprising  $2\times10^8$  to  $2.5\times10^6$  haptenized or non-haptenized autologous tumor cells or tumor cells equivalents free or of any adjuvant;
- (b) four to seven days after initiation of the treatment, cyclophosphamide; and
- (c) at least one week after initiation of the treatment, a composition comprising an adjuvant and from  $(2 \times 10^5)$  to  $1 \times 10^7$  autologous tumor cells or tumor cell equivalents, wherein said tumor eell cells or tumor cell equivalents are conjugated to a hapten.
- 56. (Revised) The method in of claim 55, in which the adjuvant is Bacille Calmette-Guerin.
- 57. (Added) The method of claim 23, wherein the tumor cells or tumor cell equivalents originate from a tumor selected from the group consisting of melanoma, ovarian cancer, colon cancer, breast cancer, rectal cancer, lung cancer, kidney cancer, prostate cancer, and leukemia.

# 2. "Version Showing Changes Made"

- 21. (Revised) A method for inducing an anti-tumor response in a mammalian patient suffering from a tumor, which method comprises administering to the patient in the following order:
- (a) on the first day of treatment, a first composition comprising  $2\times10^5$  to about  $2.5\times10^6$  haptenized or non-haptenized autologous tumor cells of tumor cell equivalents free of any adjuvant;
- (b) an immunomodulatory agent that inhibits immune suppression; and
- (c) at least one additional composition comprising from about  $2\times10^5$  to  $1\times10^7$  autologous tumor cells or tumor cell equivalents, wherein said tumor cell or tumor cell equivalents are conjugated to a hapten.
- 22. The method of claim 21, in which the immunomodulatory compound is cyclophosphamide.
- 23. (Revised) A method for inducing an anti-tumor response in a mammalian patient suffering from a tumor, which method comprises administering to the patient in the following order:
- (a) on the first day of treatment, a composition comprising  $2\times10^5$  to  $2.5\times10^6$  haptenized or non-haptenized autologous tumor cells or tumor cell equivalents free of any adjuvant;
- (b) four to seven days after initiation of the treatment, cyclophosphamide; and
- (c) at least one week after initiation of the treatment, a composition comprising an adjuvant and from  $2\times10^5$  to about  $1\times10^7$  autologous turnor cells or turnor cell equivalents, wherein said turnor cells or turnor cell equivalents are conjugated to a hapten.

- 24. The method in claim 23, in which the adjuvant is Bacille Calmette-Guerin.
- 25. The method of claim 21, wherein the composition administered on the first day of treatment comprises haptenized tumor cells or tumor cell equivalents.
- 26. The method of claim 21, wherein the composition administered on the first day of treatment comprises a mixture of haptenized and non-haptenized tumor cells or tumor cell equivalents.
- 28. (Revised) The method of claim 21, wherein the hapten is selected from the group consisting of dinitrophenyl, trinitrophenyl, N-iodoacetyl-N'- (5-sulfonic 1-naphthyl) ethylene diamine, trinitrobenzenesulfonic acid, fluorescein isothiocyanate, arsenic acid benzene isothiocyanate, sulfanilic acid, arsanilic acid, dinitrobenzene-S-mustard and combinations thereof.
  - 29. The method of claim 28, in which the hapten is dinitrophenyl.
- 30. (Revised) The method of claim 21, wherein the composition administered on the first day of treatment comprises tumor cell equivalents.
- 32. The method of claim 21, wherein the tumor cells or tumor cell equivalents originate from a tumor selected from the group consisting of melanoma, ovarian cancer, colon cancer, breast cancer, rectal cancer, lung cancer, kidney cancer, prostate cancer, and leukemia.
  - 33. The method of clam 21, wherein the tumor is melanoma.
  - 34. The method of claim 21, wherein the tumor is ovarian cancer.

- 35. The method of claim 21, wherein the tumor cells are rendered incapable of growth or multiplication in vivo by irradiation.
- 37. The method of claim 21, wherein the tumor cells of the first composition are rendered incapable of growth or multiplication in vivo by haptenization.
- 38. The method of claim 23, wherein the adjuvant is selected from the group consisting of *Bacille Calmette-Guerin*, Q-21, and detoxified endotoxin.
- 39. The method of claim 21, wherein the mammalian patient is a domestic pet or livestock.
- 40. The method of claim 21, wherein the immunomodulatory agent is administered 5 to 7 days after initiation of the treatment.
  - 41. The method of claim 21, wherein the patient is a human.
- 42. The method of claim 23, wherein the mammalian patient is a domestic pet or livestock.
- 43. (Revised) The method of claim 21, wherein the adjuvant is selected from the group consisting of *Bacille Calmette-Guerin*, Q-21, and detoxified endotoxin.
  - 44. The method of claim 23, wherein the patient is a human.
- 45. The method of claim 23, wherein the cyclophosphamide is administered 5 to 7 days after initiation of the treatment.

- 46. (Revised) A method for inducing an anti-tumor response in a mammalian patient suffering from a tumor, which method comprises administering to the patient in the following order:
- (a) on the first day of treatment, a first composition comprising  $2\times10^5$  to about  $2.5\times10^8$  haptenized or non-haptenized tumor cells or tumor cell equivalents free of any adjuvant;
- (b) an immunomodulatory agent that inhibits immune suppression; and
- (c) at least one additional composition comprising from about  $2\times10^5$  to  $1\times10^7$  tumor cells or tumor cell equivalents, wherein said tumor cell or tumor cell equivalents are conjugated to a hapten.
- 47. (Revised) The method of claim 23, wherein the hapten is selected from the group consisting of dinitrophenyl, trinitrophenyl, N-iodoacetyl-N'- (5-sulfonic 1-naphthyl) ethylene diamine, trinitrobenzenesulfonic acid, fluorescein isothiocyanate, arsenic acid benzene isothiocyanate, sulfanilic acid, arsanilic acid, dinitrobenzene-S-mustard and combinations thereof.
  - 48. The method of claim 23, wherein the tumor is melanoma.
  - 49. The method of claim 23, wherein the tumor is ovarian cancer.
- 50. (Revised) The method of claim 46, wherein the second composition comprises an adjuvant is selected from the group consisting of *Bacille Calmette-Guerin*, Ω-21, and detoxified endotoxin.
- 55. (Revised) A method for inducing an anti-tumor response in a mammalian patient suffering from a tumor, which method comprises administering to the patient in the following order:

- (a) on the first day of treatment, a composition comprising  $2\times10^5$  to  $2.5\times10^8$  haptenized or non-haptenized tumor cells or tumor cell equivalents free of any adjuvant;
- (b) four to seven days after initiation of the treatment, cyclophosphamide; and
- (c) at least one week after initiation of the treatment, a composition comprising an adjuvant and from  $2\times10^5$  to  $1\times10^7$  tumor cells or tumor cell equivalents, wherein said tumor cells or tumor cell equivalents are conjugated to a hapten.
- 56. (Revised) The method of claim 55, in which the adjuvant is Bacille Calmette-Guerin.
- 57. (Added) The method of claim 23, wherein the tumor cells or tumor cell equivalents originate from a tumor selected from the group consisting of melanoma, ovarian cancer, colon cancer, breast cancer, rectal cancer, lung cancer, kidney cancer, prostate cancer, and leukemia.